

02-03-04 2834

**PATENT AMENDMENT TRANSMITTAL**

(Provisions of 37 CFR 1.136 Apply)

Application Number	09/997,997	Filing Date	November 30, 2001
Group Art.	2834	Examiner Name	Jaydi A. Aguirrechea
Confirmation No.	3908	Attorney Docket No.	782_200
Inventor(s)	Tomohiko SHIBATA, Yukinori NAKAMURA and Mitsuhiro TANAKA		
Invention:	A SUBSTRATE USABLE FOR AN ACOUSTIC SURFACE WAVE DEVICE, A METHOD FOR FABRICATING THE SAME SUBSTRATE AND AN ACOUSTIC SURFACE WAVE DEVICE HAVING THE SAME SUBSTRATE		

Transmitted herewith is an Amendment in the above-identified application. The fee has been calculated as follows:

**CLAIMS AS AMENDED**

(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid	(5) No. of Extra Claims Present	(6) Rate (Large Entity)	(7) Additional Fee
TOTAL CLAIMS	4	MINUS	20	0	\$18.00	\$00.00
INDEP. CLAIMS	2	MINUS	3	0	\$86.00	\$00.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>						<b>\$00.00</b>

**EXTENSION OF TERM**

☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

☐ This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and appropriate non-small entity fee are as follows:

- |   |          |
|---|----------|
| <input type="checkbox"/> One Month (37 CFR 1.17(a)(1) .....   | \$110.00 |
| <input type="checkbox"/> Two Month (37 CFR 1.17(a)(2) .....   | \$420.00 |
| <input type="checkbox"/> Three Month (37 CFR 1.17(a)(3) ..... | \$950.00 |

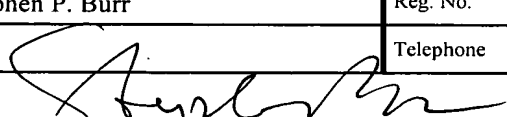
**TOTAL FEES DUE**

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount is reduced by one-half, and the resulting fee is:

**FEE PAYMENT**

- ☒ No additional fee is required.
- ☐ A check in the amount of \$ is enclosed.
- ☐ Charge Deposit Account 50-1446 in the amount of \$ \_\_\_\_\_. Enclosed is a duplicate copy of this sheet.
- ☒ Please charge any fees which may be required, or credit any overpayment, to Deposit Account 50-1446.

**Submitted By:**

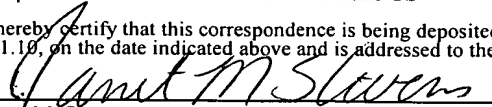
Name (Print Type)	Stephen P. Burr	Reg. No.	32,970	Customer No.	025191
		Telephone	(315) 233-8300	Facsimile	(315) 233-8320
Signature				Date	February 2, 2004

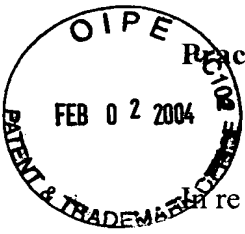
**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EL 99443 5326 US

Date of Deposit: February 2, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, on the date indicated above and is addressed to the, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Janet M. Stevens



Applicant's Docket No.: 782\_200

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

We are the application of: Tomohiko SHIBATA, Yukinori NAKAMURA and Mitsuhiro TANAKA

Ser. No.: 09/997,997

Group Art Unit: 2834

Filed: November 30, 2001

Examiner: Jaydi A. Aguirrechea

Confirmation No.: 3908

For: A SUBSTRATE USABLE FOR AN ACOUSTIC SURFACE WAVE DEVICE, A METHOD FOR FABRICATING THE SAME SUBSTRATE AND AN ACOUSTIC SURFACE WAVE DEVICE HAVING THE SAME SUBSTRATE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 2, 2004 under "EXPRESS MAIL" mailing label number EL 99443 5326 US.

*Janet M. Stevens*  
Janet M. Stevens

**AMENDMENT**

Sir:

In response to the Office Action mailed October 31, 2003, please amend the above-identified application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 4 of this paper.

**Appendix A** including a Substitute Specification is attached following page 5 of this paper.

**Appendix B** including a Version of the Specification With Markings to Show Changes Made is attached following **Appendix A** of this paper.